

MARCH 26, 2025: FORM CRS (CLIENT RELATIONSHIP SUMMARY)

A SUMMARY OF YOUR ADVISORY RELATIONSHIP WITH THREE PEAKS CAPITAL MANAGEMENT, LLC

Three Peaks Capital Management, LLC, is registered with the Securities and Exchange Commission (SEC) as an investment adviser. Brokerage and an investment adviser's fees differ, and it is essential for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS. Investor.gov/CRS also provides educational materials about broker-dealers, investment advisers and about investing.

ITEM 2 – RELATIONSHIPS AND SERVICES

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

Our Firm offers investment advisory services to retail investors on a discretionary basis. In a discretionary account, you have granted written investment authority to us to execute purchase and sell orders in your advisory accounts without consulting with you in advance. You may limit our discretion, by imposing reasonable restrictions on investing in certain securities or groups of securities. We monitor your investment advisory account on an ongoing basis to ensure your investment goals are maintained. This service is part of our Firm's standard advisory services. We do not require a specific minimum account balance to open or maintain an account, however sufficient assets may be required to utilize certain of our investment strategies.

Additional information about our services can be found in Items 4 and 7 of our Form ADV Part 2A, which is available at <https://adviserinfo.sec.gov/firm/summary/127402>.

QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:

- Given my financial situation, should I choose an investment advisory service? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

[FOR MORE INFORMATION REFER TO OUR FIRM'S ADV PART 2A BROCHURE - ITEM 4, 7, AND 13](#)

ITEM 3 – FEES, COSTS, CONFLICTS, AND STANDARD OF CONDUCT

WHAT FEES WILL I PAY?

Our Firm receives a fee as compensation for providing investment advisory services on your account. The investment management fee includes investment management supervision, trade entry, and other account maintenance and/or service activities. Fees charged vary depending on the complexity and nature of the client's portfolios. Our Firm's maximum advisory fee rate is 1.50%, calculated as a percentage of the client's assets under management. Advisory fees are negotiated with each client, and may therefore vary between client accounts. Fees are billed in arrears, on a quarterly basis; for the first 60 days after assets have been placed in the account, we waive the advisory fee on any cash in the account. Negotiated management fees are billed regardless of the activity in the clients account including in periods where no transactions were executed. The more assets in your advisory account, the more you will pay in management fees.

In addition to our investment management fee, clients bear transaction fees when we buy or sell investments. Clients also pay fees to the broker-dealer or bank that maintains each account (called "custody" fees). Depending on the investment strategy chosen by clients, our firm may purchase exchange traded funds or mutual funds for a portion of clients' portfolios. Such investment vehicles incur their own management, transaction, and administrative fees and expenses, and those fees and expenses are indirectly borne by the investors in those vehicles, including our clients.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are pay Additional information about our firm's fees are included in Item 5 of Part 2A of Form ADV, available at <https://adviserinfo.sec.gov/firm/summary/127402>.

QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:

- Help me understand how these fees and costs might affect my investments.
- If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

**WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN ACTING AS MY INVESTMENT ADVISER?
HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?**

When we serve as your investment adviser, we must act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are few examples to help you understand what this means. 1) Our Firm's compensation from fees increases as client accounts grow, so we have an incentive to encourage our clients to increase the amount of assets in the accounts that are under our management. 2) Our Firm utilizes solicitors and pays compensation to independent third parties for the referral of clients to our Firm, known as a solicitor agreement. Importantly, this compensation arrangement must be disclosed to the client.

Additional information about conflicts of interest between us and our clients can be found on Part 2A of our Form ADV, which is available at <https://adviserinfo.sec.gov/firm/summary/127402>.

QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:

- How might your conflicts of interest affect me, and how will you address them?

[FOR MORE INFORMATION REFER TO OUR FIRM'S ADV PART 2A BROCHURE - ITEM 5, 10, 11, AND 14](#)

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

Our Financial Professionals are paid a base salary. In addition, discretionary bonuses may be paid out of a bonus distribution pool that is individualized for each Financial Professional. Asset growth, resulting in increased overall revenue and individual performance are factors in determining total compensation. While some of our Firm's associates are engaged in outside business activities, they are required to disclose material outside business activities and any conflict it may pose to you. Our Firm supervises the outside business activities of our associates through our compliance program. All associates are required to follow a Code of Conduct to mitigate any conflicts to you.

ITEM 4 – DISCIPLINARY HISTORY

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

No. Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:

- As a Financial Professional, do you have any disciplinary history? For what type of conduct?

[FOR MORE INFORMATION REFER TO OUR FIRM'S ADV PART 2A BROCHURE - ITEM 9](#)

FOR ADDITIONAL INFORMATION ABOUT OUR SERVICES OR AN UP TO DATE COPY OF THIS CLIENT RELATIONSHIP SUMMARY, PLEASE CONTACT:

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CASTLE ROCK, CO 80109
303-221-9480
WWW.THREEPEAKS.COM

QUESTIONS TO ASK YOUR FINANCIAL PROFESSIONAL:

- Who is my primary contact person? Is he or she an investment adviser or a representative of a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?